

**Sri Satya Sai University of
Technology and Medical Sciences,
Sehore (M.P.)**



**Ph.D. Ordinance No. 11
(as Per UGC Regulation, 2022)**

REPEALED ORDINANCE No. 11
Doctor of Philosophy (Ph.D.)
(as per UGC Regulations 2022)

1. TITLE AND COMMENCEMENT:

- 1.1. This Ordinance shall be called as Ordinance regulating the award of Doctor of Philosophy in short Ph.D.
- 1.2. This ordinance will come into force from the date of notification issued by the University.

2. DEFINITION & KEY WORDS:

- 2.1. "Vishwavidyalaya" or "University" means Sri Satya Sai University of Technology & Medical Sciences, Sehore M.P.
- 2.2. "Research Scholar" means one who has been admitted in the Ph.D. Program of this University through Vishwavidyalaya Entrance Test (VET) or by any other procedure notified by the University from time to time.
- 2.3. "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places.
- 2.4. "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit.
- 2.5. "College" means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it.
- 2.6. "Commission" means the University Grants Commission established under Section 4 of the UGC Act 1956.
- 2.7. "Course" means one of the specified units which go to comprise a programme of study.
- 2.8. "Course Work" means courses of study prescribed by the School/Department/Center to be undertaken by a student registered for the Ph.D. Degree.
- 2.9. "Degree" means a degree awarded by University in accordance with the provisions of section 22 (3) of the UGC Act 1956.

- 2.10. "External examiner" means an academician/researcher with published research work who is not part of the Sri Satya Sai University of Technology and Medical Sciences, Sehore (SSSUTMS) where the Ph.D. scholar has registered for the Ph.D. programme.
- 2.11. "Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale.
- 2.12. "Guide/Research Supervisor" means an academician/researcher recognized by Higher Educational Institution to supervise the Ph.D. scholar for his/her research.
- 2.13. "Higher Educational Institution" means a university or institution specified under clause 2 of Regulation 1 of UGC regulation 2022.
- 2.14. "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines.
- 2.15. "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2022.
- 2.16. "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2022.
- 2.17. "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own.
- 2.18. "Programme" means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
- 2.19. "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a Higher Educational Institution and programmes, to the general public (including to those seeking admission in such Higher Educational Institutions) by the Higher Educational Institutions.
- 2.20. "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme.
- 2.21. "University" means a Higher Educational Institution established or incorporated by or under a Central Act, a Provincial Act, or a State Act, and shall include any institution for higher education deemed to be a University under Section 3 of the Act.

admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

- 4.2. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

- 4.3. The candidate shall pursue the research work at the approved research Center under the guidance of supervisor/co-supervisor. The candidate shall not be permitted to submit the thesis earlier than 30 months after successfully completion of course work and not later than five calendar years from the date of registration.

In case a candidate does not submit his/her thesis within five calendar years, from the date of registration and does not apply for extension/re-registration in time, his/her registration shall stand cancelled automatically.

5. Procedure for admission

- 5.1. Admission into the Ph.D. programme shall be through an Entrance Test and subsequent interview conducted by the University. At the time of admission, the guidelines/norms prescribed by the UGC and the amendments made from time to time will also be followed. In this regard, the reservation policy prescribed by the State Government and Central Government will also be kept in mind.

- 5.2. Admission to the Ph.D. programme shall be made using the following methods:

- i. The University may admit students who qualify for fellowship/scholarship in UGC-NET/UGC- CSIR NET/GATE/CEED and similar National level tests based on an interview.
- ii. The University may admit students through an Entrance Test conducted at the level of the individual University. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject-specific.
- iii. Students who have secured 50 % marks in the entrance test are eligible to be called for the interview.
- iv. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as decide by UGC from time to time.
- v. The University may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
- vi. Provided that for the selection of candidates based on the entrance test conducted by the University, a weightage of 70 % for the entrance test and 30% for the performance in the interview/viva- voce shall be given.

- 5.3. Universities shall:

Ship Holders of Central Government or State Government of Madhya Pradesh and Teachers selected under QIP programme of Central Government or State Government of Madhya Pradesh are exempted to appear in the Entrance Test. These candidates shall have first preference in the admission process. These candidates shall be required to appear in the interview like any other candidates. Relative merit of these candidates shall be decided by the marks obtained by them in the Interview.

- 5.10. Based on available vacancies and the reservation policy, selection and final merit list shall be declared, in which first preference shall be given to the candidates listed at clause 5.2 (i) of this ordinance. The remaining vacancies shall be filled after adding marks obtained by the candidate in different criteria.
- 5.11. Candidates qualifying the Entrance Test shall have to appear in an interview. The interview board shall consist of the following members:
 - 5.11.1 Vice Chancellor or his Nominee — Chairman
 - 5.11.2 Dean of the concerned faculty.
 - 5.11.3 Chairman Board of Studies (BOS) of the concerned Branch.
 - 5.11.4 Head of the Department of the concerned branch of University's Institute (constituent unit), / University Teaching Department (UTD) of SSSUTMS, Sehore, who should be a Professor or any other Professor of the concerned branch and in case of non-availability of Professor any other Professor/Associate Professor of University's Institutions (constituent units), to be nominated by the Vice- Chancellor.
 - 5.11.5 One of the recognized supervisors from the SSSUTMS, Sehore in the concerned subject to be nominated by the Vice-Chancellor.
 - 5.11.6 One subject expert, who should not be from SSSUTMS, Sehore, to be nominated by the Vice-Chancellor.

Subject expert and three other members shall form the quorum. If the Dean of faculty/Chairman of BOS is not available, then the Vice-Chancellor may appoint a Senior Professor of SSSUTMS, Sehore in their place.

No T.A. and D.A. shall be payable to the candidate for attending the interview.
- 5.12. The interview shall be conducted in the University premises. The venue and time for the interview shall be notified by the University.
- 5.13. At the time of interview, the candidate is expected to discuss his/her research area of interest and choice of supervisors and co-supervisors (if any). During the interview the Interview Board shall assess the subject knowledge and research aptitude of the candidate.
- 5.14. Depending upon the performance in the Interview, the Interview board shall finalize the merit list of the candidates in the concerned subject, according to clause 5.8 of this ordinance. If there are more than one candidate having equal marks, then merit shall be decided on the basis of marks obtained firstly in Entrance Test then in UG programme and then in PG programme. If marks obtained in all above components are also equal, then the elder candidate shall be placed higher in the merit list.

- 6.5. If a candidate is pursuing his/her Ph.D. programme from an Institution mentioned at clause 6.1(ii) of this ordinance then the candidate shall be required to have at least one co-supervisor from research centers listed at clause 6.1 (i) of this ordinance.

7. Allocation of Research Supervisor

The person recommended as supervisor/co-supervisor to guide the research scholar must be from a University approved research center and must be:

- 7.1. Permanent faculty members working as Professor/Associate Professor of the University with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in University with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university, where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post-graduate Colleges/institutes would be in violation of these Regulations.

For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.

Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

- 7.2. In case of interdisciplinary/ multidisciplinary research work, if required, a Co -Supervisor from outside the Department/ School/ Center/ College/ University may be appointed.
- 7.3. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- 7.4. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any

the research center to provide list of such faculties to the University while submitting the details of available vacancies.

- 8.5. If a candidate is allotted both supervisor and co-supervisor, and change in one of them is warranted due to circumstances listed at clause 8.1 of this ordinance, then the scholar, if so desire, can continue with only one supervisor/co-supervisor, provided that the remaining supervisor/co-supervisor is from the allotted research center of the candidate. If due to above change, only the co-supervisor is left, then the status of the co-supervisor shall be elevated to that of supervisor.

9. Course Work- Credit requirements, number, duration, syllabus, minimum standards for completion, etc.

- 9.1. After having been admitted into the Ph.D. programme each candidate shall be required to undertake course work. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1-1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee (RAC/RDC) can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- 9.2. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- 9.3. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.
- 9.4. The duration of the course work shall be of one/two semesters. The course work shall be treated as pre Ph.D. preparation. The course work shall comprise of a subject on research methodology, with minimum of four credits, which shall cover areas such as quantitative methods, computer applications, research ethics, review of published research, data collection etc.in the relevant field. Other subject/s shall be advanced level subject/s of the relevant field, identified as per clause 9.2 of this ordinance.
- 9.5. One credit shall be equal to one hour of Theory/Tutorial or two hours of Practical classes per week.
- 9.6. A student shall be continuously evaluated for his / her academic performance in a subject through tutorial work, practicals, home assignments, mid-semester tests, field work, seminars, quizzes, regularity and end-semester examinations. The distribution of weightage of marks for each component shall be decided by the respective BOS and other competent bodies of the University. The broad distribution of marks for theory subject shall be 10% for tutorial work, practicals, home assignments, field work, seminars, quizzes and regularity; 20% for mid semester tests and 70% for the end-semester examination. For practical subject 40% marks shall be assigned for tutorial work, practical, home assignment, field work, seminars, quizzes and regularity, remaining 60% marks for the end-semester examination. In each theory subject, the institute shall be

adopted by the University for its Post Graduate Programmes.

10. Attendance Requirements

- 10.1. Candidates appearing as regular students for the examination of any subject of the course work shall be required to attend at least 75% of lectures delivered and of the practicals held, provided that a short fall in attendance up to 10% and 5% can be condoned by the Head of the research center and Vice Chancellor of Sri Satya Sai University of Technology and Medical Sciences, Sehore, respectively, for satisfactory reasons. If a candidate is in service, then such candidate shall be required to submit certificate of leaves availed from his/her employer, to justify attendance in the research center for the duration of course work.
- 10.2. After successfully completion of the course work the candidate shall be required to put in at least 240 days of attendance in the research center with the supervisor/co-supervisor. The relevant declaration by the candidate and the certificate of the supervisor in the format prescribed by the University must be given at the beginning of the thesis. Research Advisory Committee (RAC)

11. Research Advisory/Degree Committee (RAC/RDC) and Its Function :

- 11.1. For each Ph.D. scholar the research center, shall form a Research Advisory Committee, within two weeks from the date the candidate deposits the admission fee in the research center.
- 11.2. The following shall form this committee:
 - i. Head of the concerned faculty — Chairman
 - ii. One representative from the concerned department, possessing doctoral Degree-Member
 - iii. One representative from the other department of the research center (preferably from allied departments), possessing doctoral Degree-Member
 - iv. Research supervisor of the scholar--Convener
 - v. Co-supervisor of the scholar, if he/she is from the same institute-Member. The Convener shall nominate four experts, two each from the parent department and the other department. The Head of the research center shall then select one member each from the parent and the other department.
- 11.3. RAC shall have the following responsibilities:
 - i. To guide the research scholar in developing study structure and methodology for research.
 - ii. To identify the subjects of the course work.
 - iii. To review the research proposal and finalize the title of research before being submitted to the RDC.
 - iv. To periodically review and assist the work of the research scholar.
 - v. To evaluate the research work in view of the recommendation of the

As a proof of paper presentation, the candidate shall be required to append related certificates of presentation.

- 11.7. RAC shall check the draft thesis for plagiarism through an appropriate process and software, in accordance with University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018 (UGC Regulation-2018). Only on the satisfactory report for plagiarism, the candidate shall be called upon to make oral presentation before the RAC.
- 11.8. Prior to the submission of the draft thesis to the University, the candidate shall make a presentation before the RAC, which shall be open to all the faculty members, research scholars and students. Based on the feedback and comments received during this presentation, the RAC shall either approve the thesis or communicate the required modification/s to be incorporated in the draft thesis. In case modifications are sought by the RAC then the candidate shall be required to submit the revised draft thesis to the RAC, which shall then evaluate whether the required modifications have been incorporated. Before forwarding the draft thesis to the University the RAC shall ensure that the candidate fulfills all other requirements regarding submission of draft thesis to the University as per the provisions of this Ordinance, accordingly, candidate's draft thesis shall be forwarded to the University by the head of the research center.
- 11.9. If any RAC member is on leave for more than six months or has superannuated or transferred or passed away, the concern supervisor has to initiate the process for the permanent replacement of this member, which shall be approved by the Head of the research center.
- 11.10. If the meeting of RAC of any research scholar is being delayed beyond the maximum duration stipulated for the meeting of RAC of that scholar, due to the leave of any of the member of RAC, then the supervisor will propose, to the Head of the research center through concerned HOD, name of an alternate faculty member in place of this member. The Head of research center shall then approve this temporary change.

12. Research Degree/Advisory Committee (RDC/RAC)

- 12.1. After successful completion of the course work, the candidate shall be required to submit a synopsis of his/her proposed research work, along with the proposed title of the thesis, in the format as prescribed by the University from time to time, duly forwarded by the supervisor, co-supervisor (if any) and Head of the research center.
- 12.2. The candidate shall be required to make an oral presentation of the proposed work before the RDC/RAC consisting of the following members:
 - i. Vice Chancellor or his nominee —Chairman.
 - ii. Dean of the faculty concerned.
 - iii. Chairman Board of Study of the concerned branch.
 - iv. Head of the Department of the concerned branch of the UTD/Constituent Institute, who should be a Professor or any other

clause 19 of this Ordinance, within the time period as stipulated by the university for this purpose.

14 Pre Submission Defense Committee (PSDC)

PSDC shall evaluate the draft thesis submitted to the University after it being duly forward by the research center according to the provision of this ordinance.

14.1. The following shall be the constitution of PSDC for a candidate:

- i. Vice Chancellor or his nominee — Chairman.
- ii. Dean of the concerned faculty- Member.
- iii. Chairman BoS of the concerned branch- Member.
- iv. Head of the department who should be a Professor or any other Professor or in the case of non-availability of a Professor, Associate Professor of the University Teaching Department of the concerned subject - Member.
- v. One external subject expert not below the rank of Professor or in the case of non-availability of a Professor, an Associate Professor from a reputed Institute other than the research center of the candidate to be appointed by the Vice Chancellor.

External expert and two other members, one of which shall be Vice Chancellor or his nominee shall form the quorum.

14.2. This Committee shall have the following responsibilities:

- i. To ensure that draft thesis is free of any plagiarism as per provision of relevant UGC Regulation-2022.
- ii. To ensure that the draft thesis submitted by the candidate is in order and the candidate fulfills all the necessary requirements as laid down in this ordinance for the submission of the draft thesis to the University.
- iii. To give recommendation regarding the standard of the research work prior to submission of the thesis to the external examiner.

14.3. Based on draft thesis, the candidate shall defend his/her work before PSDC through an oral presentation, which shall be conducted in the University. This presentation shall be open to all the faculty members, research scholars and students, with an aim to get feedback and constructive suggestions/comments from them.

14.4. Based on the feedback and comments received during this presentation, the PSDC may approve the draft thesis or may recommend modification/s to be incorporated in the draft thesis. These modifications shall be communicated to the candidate by the University, which will have to be suitably incorporated into the draft thesis by the candidate.

14.5. If the modifications required are of minor nature, the candidate shall be required to submit the revised draft thesis, incorporating modifications as suggested by the PSDC, within 3 months from the date of issue of the communication in this regard. The Dean of the Faculty shall examine the revised draft thesis. Dean, if finds it necessary, may send the revised draft thesis to a subject expert for opinion/comments regarding incorporation of the modification/s raised by the PSDC. If the Dean is satisfied that the modification/s as suggested by the

be from outside the state of Madhya Pradesh. Names of external experts from outside the country can also be included.

- 16.2. The Dean of the concerned faculty shall also submit to the Registrar, in a sealed envelope, a separate panel of at least six external experts, fulfilling the conditions as stated at clause 14 of this ordinance.
- 16.3. The Vice Chancellor shall then appoint two external examiners out of the two aforesaid panels, submitted by the Dean and the supervisor, respectively.
- 16.4. The Ph.D. thesis of the research scholar shall first be evaluated by his/her Research Supervisor. Only after satisfactory report of the supervisor in the prescribed format, the thesis shall be send for evaluation to two external examiners, as appointed as per clause 16.3 of this ordinance, following the procedure listed at clause 14.6 of this ordinance. However, if the report of the supervisor is not satisfactory the candidate shall be required to modify the thesis and submit it to the University along with other documents listed at clause 13 of this ordinance, which shall again be evaluated by the supervisor. Only after satisfactory report of the supervisor in the prescribed format, the thesis shall be send to two external examiners. However, if the report of the supervisor is not satisfactory even after the modifications, the thesis of the candidate shall be rejected, and shall not be sent to external examiners for further evaluation. The candidature of such candidate for the award of the Ph.D. degree shall stand cancelled automatically.
- 16.5. Before sending the thesis to two external examiners for evaluation, the summary of the thesis and the list of the publications, as submitted by the candidate according to this ordinance, shall be sent to these examiners to obtain their consent for evaluating the thesis of the candidate. These may be sent through E-mail to expedite the process.
- 16.6. After receiving the consent of the examiners, the spiral bound thesis or its soft copy (as may be desired by the examiner) shall be sent to these examiners for evaluation.

The Vice Chancellor may recall the thesis from an examiner, who fails to send the evaluation report within three months from the date of dispatch of the thesis or such other date as may be extended by the Vice Chancellor, and shall appoint another examiner from the aforesaid panels of examiners.
- 16.7. For acceptance of the thesis, the examiner must evaluate that the thesis must be:
 - i. A piece of research work characterized either by the discovery of the new facts or by a fresh approach towards the interpretation of facts. In either case, it should evince the candidate's capacity for critical examination, problem solving and analysis.

AND
 - ii. Satisfactory from the point of view of language and presentation.
- 16.8. In its evaluation report the examiners shall categorically recommend acceptance (including a specific recommendation for conducting the viva-voce examination),

for a major revision.

OR

If one examiner recommends minor revision and other rejects it.

The candidate shall be communicated to revise the thesis in the light of the observations of the examiners. Revised thesis incorporating these changes shall be sent to Dean of the Faculty, who shall evaluate whether the candidate has incorporated the minor revision/s as suggested by the examiner/s. Dean, if finds it necessary, may send the revised thesis to a subject expert for opinion/comments regarding incorporation of the modification/s raised by the examiner. Only after his satisfactory report, the thesis shall be sent to the third examiner, appointed by the Vice Chancellor from the panel of examiners (according to provision of clause 16.3 & 16.4 of this ordinance). The previous report/s shall not be disclosed to the third examiner. The evaluation report received from the third examiner shall be final. If third examiner approves the thesis for the award of the Ph. D. degree then the candidate shall be called upon to appear at the viva-voce examination. If the third examiner rejects or recommends a major revision, the thesis shall stand rejected. However, if the third examiner recommends for the minor revision the candidate shall be communicated to revise the thesis in the light of the observations of the third examiner. Revised thesis incorporating minor revisions shall be sent to Dean of the Faculty, who shall evaluate whether the candidate has incorporated the minor revision/s as suggested by the examiner. Dean, if finds it necessary, may send the revised thesis to a subject expert for opinion/comments regarding incorporation of the modification/s raised by the examiner. If the Dean is satisfied that the changes as suggested by the examiner, have been incorporated in the revised thesis, only then candidate shall be called upon to appear at the viva-voce examination, with due approval of Vice Chancellor.

- v. If both the examiners recommend for major revision, the candidate shall be communicated to revise the thesis in the light of the observations of the examiners. The revised thesis of the candidate shall again be sent to both these examiners for evaluation. In case both the examiners approve the thesis for the award of the Ph. D. degree, the candidate shall be called upon to appear at the viva-voce examination. If one examiner approves the thesis and other recommends for a minor revision, the candidate shall be communicated to revise the thesis in the light of the observations of the examiner. Revised thesis incorporating minor revision/s shall be sent to Dean of the Faculty, who shall evaluate whether the candidate has incorporated the minor revision/s as suggested by the examiner. Dean, if finds it necessary, may send the revised thesis to a subject expert for opinion/comments regarding incorporation of the modification/s raised by the examiner. If the Dean is satisfied that the changes as suggested by the examiner, have been incorporated in the revised thesis, only then candidate shall be called upon to appear at the viva-voce examination, with due approval of Vice Chancellor. However, if any one or both of these examiners again recommend for a major revision or both the examiners rejects it, the thesis shall stand rejected. The candidature of such candidate for the award of the Ph.D. degree shall stand cancelled automatically.

- vi. If one examiner approves the thesis and other recommends for a minor revision

OR

also be made available to the examination board. The examination board shall compulsorily be required to ask these questions during the viva-voce examination. Others present in the audience, can also ask questions relevant to the research work of the candidate. Whether answers to these questions are to be considered during the evaluation of the candidate shall be the prerogative of the examination board.

- 16.19. In case the examination board is not satisfied with the performance of the candidate during the viva-voce examination, the candidate shall be required to reappear in the second viva-voce examination, which shall be conducted within six months from the date of present viva-voce examination, all the provisions listed at clause 16.16 to 16.18 shall also be applicable for the second viva-voce examination. If the examination board is not satisfied with the performance of the candidate even during the second viva-voce examination, the candidature of the candidate for the award of the Ph.D. degree shall stand cancelled automatically. The candidate, however, shall be at liberty to apply for the Ph.D. programme as a fresh candidate.
- 16.20. For the second viva-voce examination the candidate shall be required to pay an additional fee as prescribed by the University from time to time.
- 16.21. The University shall develop appropriate methods so as to complete the first round of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

17. Award of Ph.D.

After the successful viva-voce and due approval from the Chairman Board of Management, the Registrar shall issue a notification regarding acceptance of the thesis of the candidate and declaring the candidate eligible for the award of Ph.D. Degree from the date of viva-voce examination. This notification shall clearly mention that the Ph.D. Degree being awarded is in accordance with the provisions of University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2022. The Ph.D. degree will be conferred upon the candidate after due approval of the Board of Management.

18. Reports of examiners

After issuance of the notification as per clause 15 of this ordinance, desirous candidate, on payment of fee as prescribed by the University for this purpose may ask for the copies of the reports of examiners, who have evaluated his/her thesis for the award of Ph.D. degree. The reports shall not disclose the identity of the examiners.

19. Fee Payable

Candidate admitted to Ph.D. programme shall be required to pay fee as prescribed by the University from time to time. The University fee and Research center fee shall be separate, which will have following main components:

Fee components for University fee:

- i. Registration fee (Once).
- ii. University Library fee (six monthly, if availing the facility).
- iii. University Library Caution Money-once (refundable).
- iv. Fee for Extension of Registration (if applicable).

23. Award of Ph.D. Degrees Prior To Notification of This Ordinance

Award of degrees to candidates registered for the Ph.D. programme prior to joining into existence of this ordinance shall continue to be governed by the provisions of the ordinance existing at the time of their admission into Ph.D. programme.

- 23.1. Issuing a Provisional certificate.**-Prior to the actual award of the Ph.D. degree, the University shall issue a provisional certificate (as per appendix 07 attached to this ordinance) to the effect that the Ph.D. is being awarded in accordance with the provisions of this Ordinance.

24. Depository With INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit soft copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

25. Withdrawal of Degree

If at any time after the award of degree it has been found that the degree has been awarded in violation of any of the provision of this ordinance, then the University reserves the right to withdraw the degree after providing the candidate opportunity to defend himself or herself in a fair and transparent manner.

26. Interpretation

In case of any doubt regarding any of the provisions of this ordinance the interpretation of Vice Chancellor Sri Satya Sai university of Technology and Medical Sciences, Sehare shall be final and binding to all.

REGISTRAR

Any other point which is not incorporated add above then decision of vice-chancellor will be final.

Appendix-2

DECLARATION BY THE CANDIDATE

I declare that the thesis entitled.....
.....

Is my own work conducted under the supervision of Dr.....
(Supervisor/Co-Supervisor) at
(Center)

Approved by Research Degree Committee. I have put in more than 240 days of
attendance with supervisor at the center.

I further declare that to the best of my knowledge, the thesis does not contain any part
of any work which has been submitted for the award of any degree either in this
University or in any other University without proper citation.

Signature of the candidate

Date:.....

Place:.....

Appendix - 4
CONFIDENTIAL PROGRESS REPORT

Six monthly progress report of the research work done for the period from
.....to of the research scholar.

1. Name of the research scholar.....
2. Subject.....
3. Topic registered for Ph.D Degree.....
4. Name of the Supervisor.....
5. Name of Co-supervisor(if any).....

Description of the guidance on the topic period with dates the Candidates has been with
the guide for research work (It may also indicate the date of leave availed by the
candidate during the above period). Remarks of the supervisor on the work done by the
candidate on
topic.....

Fees paid vide receipt No Date.....

Date:.....

Place:..... (Signature of Head of institution where the
Candidate was registered for Ph.D. degree)

Signature of the Supervisor Address:.....

Date:.....

Place:.....

Appendix – 6

EXAMINERS REPORTS ON Ph.D. THESIS

Title of thesis

.....

Name of candidate Shri /Smt./Ku

Subject:.....

Faculty.....

1. Thesis is recommended for the Yes..... NoAward of Ph.D. degree.
2. The thesis be revised on the Lines..... Detailed below.....
3. The thesis be rejected(Please write Yes/No, as the case may be)

Thesis requiring only minor revision/s should also be covered in the category and suitable remarks detailing minor revision/s required, is/are to be enumerated. Thesis requiring only major revision/s should be covered in this category and suitable remarks detailing the major revision/s required is/are to be enumerated

DETAILED REPORT

(The examiner is requested to attach detailed report in four copies, covering also the following points)

- (a) It must be a piece of research work characterized either by the discovery of the facts or by a fresh approach towards the interpretation of facts. In either case it should evince the candidate's capacity for critical examination and sound judgment.
- (b) It must be satisfactory in point of language and presentation of the subject matter.

Date : (Signature of the Examiner)

Place : Full Name & Address